

## EMBARK THIRD PARTY INVESTMENT ACCOUNT (TPIA) TRANSFER AUTHORITY FORM

This form is to be used by a SIPP pension scheme to transfer investments to their Third Party Investment Account on the Embark platform.

### SECTION 1: EMBARK CLIENT ACCOUNT DETAILS

Embark client account name

Reference number

Embark client account number

EM

Permanent residential address

Postcode

Phone number

For information on how we process and manage your client's personal data, please refer to our Group Privacy Notice on our website.

### SECTION 2: EXISTING ACCOUNT DETAILS

Company name

Address

Postcode

Phone number

Account number

Type of Re-registration Transfer

Full

Partial

**SECTION 3: DETAILS OF ASSETS TO BE RE-REGISTERED**

**MUTUAL FUNDS AND UCITS**

Investment name	Sedol / fund code	Quantity
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**SECURITIES (INCLUDING CASH)**

Investment name	Sedol / fund code	Quantity
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### EMBARK CLIENT (SIPP TRUSTEE) ACCOUNT CONFIRMATION

By signing this you confirm that you have read and agreed to be bound by the terms and conditions of the Embark TPIA.

By signing this form, we confirm that:

- You may provide any information regarding our account to the Embark platform as their Administrator.
- You may act upon instructions from Embark platform as their Administrator.
- Charges may be deducted prior to transfer to the Embark platform.
- Any cash balance in the account should be remitted to the Embark platform.

Authorised signature

Authorised signature

Print name

Print name

Date

D	D	M	M	Y	Y
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Date

D	D	M	M	Y	Y
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### SECTION B INSTRUCTIONS FOR THE TRANSFERRING PLAN MANAGER

When we receive the completed form, we will check whether the assets are acceptable on the Embark platform. Any assets which are unacceptable will need to be sold prior to transfer and the proceeds transferred as a cash payment. We will advise you of any assets you will need to sell.

For Net Mutual Funds and UCITS etc, re-register the holdings in the name of:

Embark Investment Services (Nominees) Ltd  
a/c FNZ Nominee (UK) Ltd A/c Embark Net  
Suite 1, 3rd Floor  
11-12 St. James's Square  
London  
SW1Y 4LB

For Gross Mutual Funds and UCITS etc, re-register the holdings in the name of:

Embark Investment Services (Nominees) Ltd  
a/c FNZ Nominee (UK) Ltd A/c Embark Gross  
Suite 1, 3rd Floor  
11-12 St. James's Square  
London  
SW1Y 4LB

For securities, for example, shares, please contact [transfers@fnz.co.uk](mailto:transfers@fnz.co.uk) quoting the Embark account reference number, to arrange delivery of securities to FNZ (UK) Nominees Ltd, Crest ID LBUAG, Member Account ID: Nominee.

For bank transfers, please pay to:

Account name: EISL Client Transfers Account  
Account number: 21862959  
Sort code: 230580  
Payment reference number: EM<nnnnnn>

For cheque payments, cheques should be made payable to Embark Investment Services Limited and sent to:

Embark platform  
PO Box 24065  
1 Tanfield  
Edinburgh  
EH3 1EY